

Summary analysis

Audit type	Number	Description
Advisory	18	Advising key operational projects Developing control frameworks
Assurance	52	Assuring effectiveness of governance and internal process controls
Certification	18	Testing and certification of externally allocated grants
Fraud	7	Investigating allegations of fraud
Project	4	Audit team activity
Special/Sensitive	2	Investigating concerns raised where no fraud is suspected
	101	

Detailed analysis

Audit	Type	Rating	Current Milestone
2020/21 - 50 - HO - Asset management controls assurance	Advisory	Not Applicable	Framework complete
2020/21 - 51 - HO - Business continuity planning	Advisory	Not Applicable	Framework complete
2020/21 - 52 - HO - Business planning controls assurance	Advisory	Not Applicable	Framework complete
2020/21 - 53 - HO - Decision making controls assurance	Advisory	Not Applicable	Framework complete
2020/21 - 54 - HO - Financial control environment	Advisory	Not Applicable	Framework complete
2020/21 - 55 - HO - Financial management controls assurance	Advisory	Not Applicable	Framework complete
2020/21 - 56 - HO - HR management controls assurance	Advisory	Not Applicable	Framework complete
2020/21 - 57 - HO - IT environment controls assurance	Advisory	Not Applicable	Framework complete
2020/21 - 58 - HO - Pension Fund governance arrangements	Advisory	Not Applicable	Framework complete
2020/21 - 59 - HO - Performance management controls assurance	Advisory	Not Applicable	Framework complete
2020/21 - 60 - HO - Procurement & Commissioning	Advisory	Not Applicable	Framework complete
2020/21 - 61 - HO - Programme & Project Management	Advisory	Not Applicable	Framework complete
2020/21 - 62 - HO - Risk management controls assurance	Advisory	Not Applicable	Framework complete
2019/20 - 70 - Financial Management Framework	Advisory	Not Applicable	Final Report
2020/21 - 11 - Corporate Governance - post COVID - Phase 1	Advisory	Not Applicable	Issue Draft Report

Audit	Type	Rating	Current Milestone
2020/21 - 12 - Place Partnership Exit	Advisory	Not Applicable	Start Field Work
2020/21 - 29 - Corporate Governance - post COVID - Phase 2	Advisory	Not Applicable	Start Field Work
2020/21 - 64 - IT - Website Development	Advisory	Not Applicable	Terms of Reference agreed
2020/21 - 18 - St Egwin's CE Middle	Assurance	Limited Assurance	End Audit
2019/20 - 72 - Malvern St Joseph's Primary	Assurance	Substantial Assurance	Responses Received
2020/21 - 20 - Parkside Middle School	Assurance	Substantial Assurance	Responses Received
2020/21 - 28 - Beoley First	Assurance	Substantial Assurance	Responses Received
2019/20 - 12 - System administration	Assurance	Not assessed	Start Field Work
2019/20 - 51 - Pensions investment	Assurance	Not assessed	Start Field Work
2020/21 - 06 - Kidderminster Trust	Assurance	Not assessed	Start Field Work
2020/21 - 17 - St Mary's CoE Primary	Assurance	Not assessed	Start Field Work
2020/21 - 22 - Blessed Edward Catholic College	Assurance	Not assessed	Start Field Work
2019/20 - 04 - Travel and Subsistence: Officers & Councillors	Assurance	Not assessed	Terms of Reference agreed
2019/20 - 23 - Direct payments	Assurance	Not assessed	Terms of Reference agreed
2019/20 - 29 - Placements in residential care (out of county)	Assurance	Not assessed	Terms of Reference agreed
2019/20 - 69 - North Bromsgrove High School	Assurance	Not assessed	Terms of Reference agreed
2020/21 - 40 - Comberton Primary	Assurance	Not assessed	Terms of Reference agreed
2020/21 - 77 - Rushwick CoE Primary	Assurance	Not assessed	Terms of Reference agreed
2020/21 - 80 - Sytchampton Endowed Primary	Assurance	Not assessed	Terms of Reference agreed
2020/21 - 91 - Financial Forecasting	Assurance	Not assessed	Terms of Reference agreed
2020/21 - 94 - ICT Risk Register Review	Assurance	Not assessed	Terms of Reference agreed
2019/20 - 21 - Payroll and HR function	Assurance	Not assessed	

Audit	Type	Rating	Current Milestone
2019/20 - 22 - Section 75 agreements	Assurance	Not assessed	
2020/21 - 30 - Accuracy of financial forecasting	Assurance	Not assessed	
2020/21 - 31 - Adult social care case management	Assurance	Not assessed	
2020/21 - 32 - Adult social care peer review support	Assurance	Not assessed	
2020/21 - 33 - Babcock insourcing PIR	Assurance	Not assessed	
2020/21 - 35 - Bewdley School & Sixth Form Centre	Assurance	Not assessed	
2020/21 - 37 - Capital and Business Case Development	Assurance	Not assessed	
2020/21 - 38 - Chawson First	Assurance	Not assessed	
2020/21 - 39 - Civica insourcing (CRM)	Assurance	Not assessed	
2020/21 - 41 - Cropthorne -with-Charlton First	Assurance	Not assessed	
2020/21 - 42 - Debt management	Assurance	Not assessed	
2020/21 - 43 - Effective governance of the WCC / WCF arrangements and Contract Management	Assurance	Not assessed	
2020/21 - 44 - Effective internal governance	Assurance	Not assessed	
2020/21 - 45 - Elmley Castle First	Assurance	Not assessed	
2020/21 - 46 - Financial Instruments to generate money for commercialisation	Assurance	Not assessed	
2020/21 - 47 - General Ledger Maintenance - CP / e5 upgrades	Assurance	Not assessed	
2020/21 - 48 - Governor support and training thematic	Assurance	Not assessed	
2020/21 - 49 - High Needs Block	Assurance	Not assessed	
2020/21 - 65 - Key contract review – Waste management	Assurance	Not assessed	
2020/21 - 66 - Liquid Logic and Controcc implementation	Assurance	Not assessed	
2020/21 - 67 - Long term agency and consultancy placements	Assurance	Not assessed	
2020/21 - 68 - Millfields First	Assurance	Not assessed	

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2020/21 - 70 - Ombersley Endowed First	Assurance	Not assessed	
2020/21 - 71 - Organisational data management	Assurance	Not assessed	
2020/21 - 72 - Pitmaston Primary	Assurance	Not assessed	
2020/21 - 73 - Placement process for children in care	Assurance	Not assessed	
2020/21 - 75 - Reserves management	Assurance	Not assessed	
2020/21 - 76 - Rigby Hall Day Special	Assurance	Not assessed	
2020/21 - 78 - St Barnabas CoE Primary	Assurance	Not assessed	
2020/21 - 79 - St George's Catholic Primary	Assurance	Not assessed	
2020/21 - 81 - Transport services, external and internal management and reporting	Assurance	Not assessed	
2020/21 - 82 - Treasury management and the prudential code (revised)	Assurance	Not assessed	
2020/21 - 95 - Value for Money	Assurance	Not assessed	
2020/21 - 01 - Disabled Facilities Grants (DFG)	Certification	Certified	End Audit
2020/21 - 02 - Youth Justice Grants	Certification	Certified	End Audit
2020/21 - 05 - Growth Hub	Certification	Certified	End Audit
2020/21 - 07 - Local Transport Block Funding	Certification	Certified	End Audit
2020/21 - 08 - Bus Subsidy Ring Fenced Grant	Certification	Certified	End Audit
2020/21 - 09 - Troubled Families Quarter 1&2	Certification	Certified	End Audit
2020/21 - 16 - Blue Badge Scheme	Certification	Certified	End Audit
2020/21 - 25 - WCF Set-Up Costs - Tranch 1	Certification	Certified	End Audit
2020/21 - 04 - COVID Bus Services Support Grant	Certification	Not assessed	
2020/21 - 10 - Southern Link Road	Certification	Not assessed	
2020/21 - 24 - Track and Trace Funding Grant	Certification	Not assessed	

Audit	Type	Rating	Current Milestone
2020/21 - 26 - Transport to School (post COVID) Grant	Certification	Not assessed	
2020/21 - 27 - Active Travel Fund Capital Grant	Certification	Not assessed	
2020/21 - 34 - Basic Needs Grant	Certification	Not assessed	
2020/21 - 92 - Troubled Families Quarter 3	Certification	Not assessed	
2020/21 - 93 - Troubled Families Quarter 4	Certification	Not assessed	
2020/21 - 74 – Pothole Challenge Fund Grant	Certification	Not assessed	
2020/21 - 83 - Virgin Park & Charge	Certification	Not assessed	
2020/21 - 03 - School	Fraud	Not Applicable	End Audit
2020/21 - 14 - Fraud Hotline	Fraud	Not Applicable	End Audit
2020/21 - 88 - Fraud Awareness Report	Fraud	Not Applicable	Start Field Work
2020/21 - 85 - NFI Data Upload 2020	Fraud	Not Applicable	Terms of Reference agreed
2020/21 - 23 - School	Fraud	Not Applicable	
2020/21 - 69 - National Fraud Initiative - Download of historical data	Fraud	Not Applicable	
2020/21 - 90 - NFI Data Matching Jan 2020	Fraud	Not Applicable	
2020/21 - 86 - Internal Website Development	Project	Not Applicable	Start Field Work
2020/21 - 84 - Schools Newsletter - Dec 2020	Project	Not Applicable	Start Field Work
2020/21 - 87 - External Website Development	Project	Not Applicable	Start Field Work
2020/21 - 89 - Continuous Auditing	Project	Not Applicable	Start Field Work
2020/21 - 13 - School	Special/Sensitive	Not Applicable	End Audit
2020/21 - 15 - School	Special/Sensitive	Not Applicable	End Audit